

# Limitations of Quantum Walks and Randomized Algorithms

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# Outline

## Introduction

### Quantum Walks

- Grover's Quantum Walk

- Oscillatory Localization

- Stationary States

### Query Complexity

- Query Model

- Adversary Bounds

## Conclusion

## Motivation: Computational Complexity

What is the power of

**deterministic, probabilistic, quantum**

models of computation?

Check  $n \times n$  matrix multiplication  $AB = C$ :

- Deterministic:  $O(n^{2.37})$  [LG14b].
- Randomized:  $O(n^2)$  (Freivalds's algorithm) [Fre77].

Integer factorization:

- Randomized: sub-exponential time [LL93].
- Quantum: polynomial time (Shor's algorithm) [Sho97].

## Goals: Quantum Walks

- Quantum walks generalize classical random walks.
- Applications in quantum query algorithms:
  - Element distinctness: determine if  $n$  numbers are distinct.

$$O(n^{2/3}) \quad [\text{Amb04}]$$

- Triangle finding: does a graph on  $n$  vertices contain a triangle?

$$\tilde{O}(n^{5/4}) \quad [\text{LG14a}]$$

- Find a marked element in a 2-dimensional  $\sqrt{n} \times \sqrt{n}$  grid:

$$O(\sqrt{n \log n}) \quad [\text{ABN}^+13]$$

- What are the limits of quantum walk possibilities?

## Goals: Query Complexity

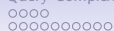
- A clear mathematical model of computation.
- Applications:
  - Many standard algorithms also work in the query model:  
**Binary Search, Sorting, etc.**
  - A large variety of quantum query algorithms:  
**Element Distinctness, Triangle Finding, Grover's Search, etc.**
  - Lower bounds on the running time of the algorithms.

$$D(\text{SORTING}), R(\text{SORTING}), Q(\text{SORTING}) = \Omega(n \log n). \quad [\text{HNS02}]$$

- Comparison of the power of computational models.

$$D(f) = O(R(f)^3) \quad D(f) = O(Q(f)^6) \quad R(f) = O(Q(f)^6).$$

- What are the best lower bound methods?  
What are the limits of known lower bounds?  
What are the actual relationships between  $D(f)$ ,  $R(f)$ ,  $Q(f)$ ?



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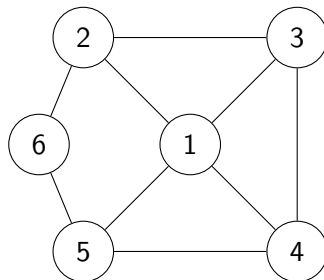
Adversary Bounds

Conclusion



# Classical Random Walks

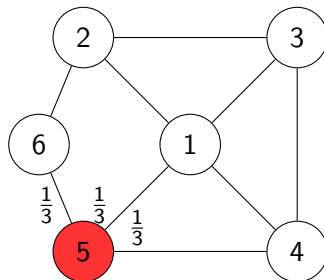
- We walk on an  $N$  vertex graph  $G$ .





## Classical Random Walks

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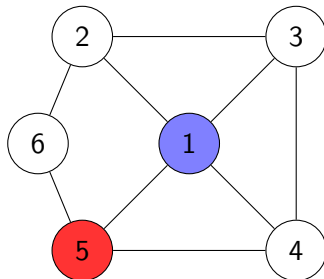






## Classical Random Walks

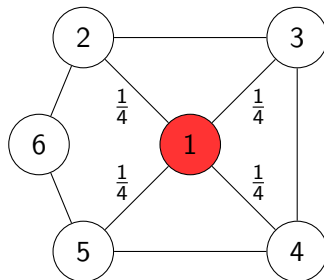
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## Classical Random Walks

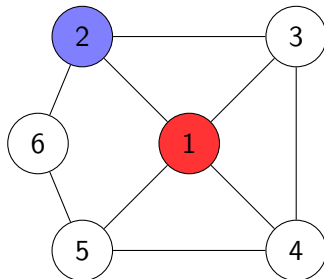
- We walk on an  $N$  vertex graph  $G$ .





## Classical Random Walks

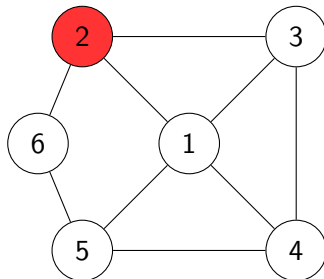
- We walk on an  $N$  vertex graph  $G$ .





## Classical Random Walks

- We walk on an  $N$  vertex graph  $G$ .





# Classical Random Walks

- Applications of random walks:
  - Design of algorithms.
  - Motion of physical particles.
  - Gambling processes.
  - Economics.
  - Social network analysis.
  - ...
- Quantum walks:
  - Discrete-time / Continuous-time.
  - Design of even faster quantum algorithms.
  - Simulation of quantum physical processes.



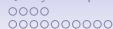
## Grover's Quantum Walk

- $G = (V, E)$  is a graph on  $N$  vertices.
- For each edge  $\{u, v\}$ , there are quantum states  $|uv\rangle$  and  $|vu\rangle$ .
- The state of the quantum walk is

$$\sum_{u \rightarrow v} \alpha_{uv} |uv\rangle,$$

where  $\alpha_{uv}$  are complex amplitudes and  $\sum_{u \rightarrow v} |\alpha_{uv}|^2 = 1$ .

- Measurement: the probability of obtaining  $|uv\rangle$  is  $|\alpha_{uv}|^2$ .



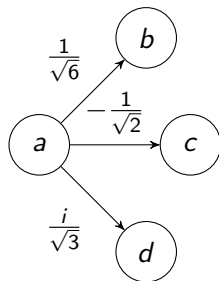
## Grover's Quantum Walk

- $|\psi\rangle = \frac{1}{\sqrt{6}} |ab\rangle - \frac{1}{\sqrt{2}} |ac\rangle + \frac{i}{\sqrt{3}} |ad\rangle$ .
- The walk is at:

$|ab\rangle$  with prob.  $|1/\sqrt{6}|^2 = 1/6$ ;

$|ac\rangle$  with prob.  $|-1/\sqrt{2}|^2 = 1/2$ ;

$|ad\rangle$  with prob.  $|i/\sqrt{3}|^2 = 1/3$ .



At vertex  $a$  with probability 1.

# Grover's Quantum Walk

- $U = SC$  [AKR05].
- $C$  – the “coin” operator. Disperses the amplitudes among the directions within a single vertex.

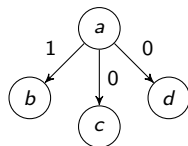
As  $C$  we use Grover's diffusion:

$$C|uv\rangle = -|uv\rangle + \frac{2}{\deg(u)} \sum_{u \rightarrow w} |uw\rangle.$$

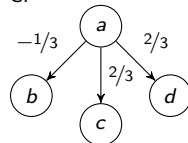
- $S$  – the “shift” operator. Moves the amplitudes along the edges of the graph.

As  $S$  we use the “flip-flop” shift:

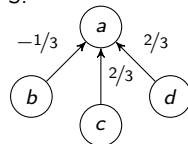
$$S|uv\rangle = |vu\rangle.$$



$C$ :



$S$ :





# Grover's Quantum Walk

To reach  $b$  from  $a$ :

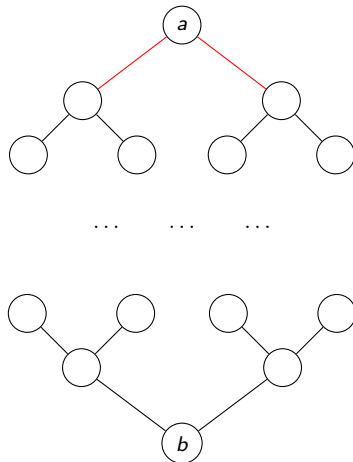
1. Prepare the starting state, the uniform superposition

$$|\psi_0\rangle = \frac{1}{\sqrt{\deg(a)}} \sum_{v \sim a} |av\rangle.$$

2. Apply  $T$  steps of  $U$  for some choice of  $T$ ,  $|\psi_T\rangle = U^T |\psi_0\rangle$ .
3. Measure the state  $|\psi_T\rangle$  and obtain some edge state  $|uv\rangle$ .
4. Check whether  $u$  is equal to  $b$ .

Classically:  $\Theta(2^d)$ .

Quantumly:  $\Theta(d^2)$  [CFG02].





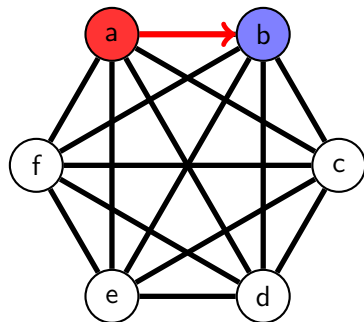
# Oscillatory Localization

- Andris Ambainis, Krišjānis Prūsis, Jevgēnijs Vihrovs, and Thomas G. Wong.  
Oscillatory localization of quantum walks analyzed by classical electric circuits.  
*Phys. Rev. A*, 94:062324, 2016
- *Localization* – the walk remains close to the starting position  $|\psi_0\rangle$  with high probability.
- A phenomenon unique to quantum walks.
- Potential applications:
  - Quantum algorithms.
  - Quantum optics.



## Oscillatory Localization

- The complete graph  $K_N$ .
- Starting in  $|\psi_0\rangle = |ab\rangle$ , it localizes.

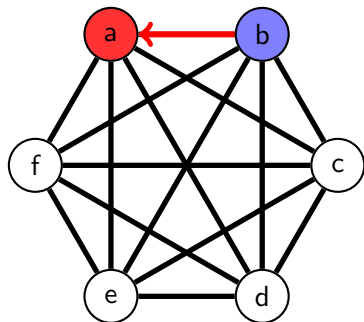


$t = 0$

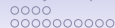


## Oscillatory Localization

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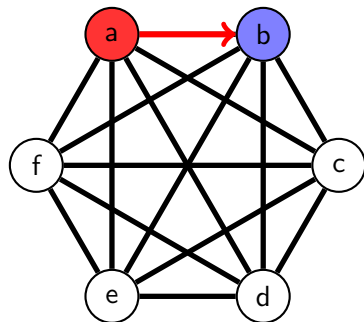


$t = 1$



## Oscillatory Localization

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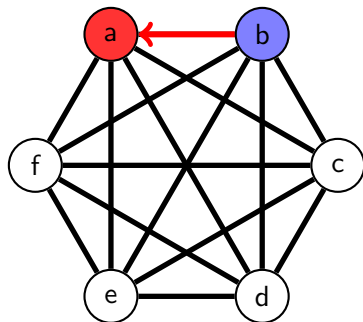


$t = 2$



## Oscillatory Localization

- The complete graph  $K_N$ .
- Starting in  $|\psi_0\rangle = |ab\rangle$ , it localizes.

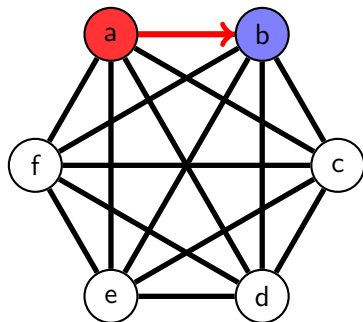


$t = 3$



## Oscillatory Localization

- The complete graph  $K_N$ .
- Starting in  $|\psi_0\rangle = |ab\rangle$ , it localizes.

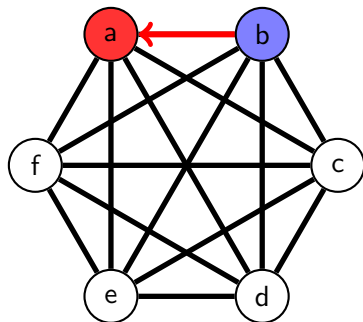


$t = 4$



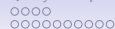
## Oscillatory Localization

- The complete graph  $K_N$ .
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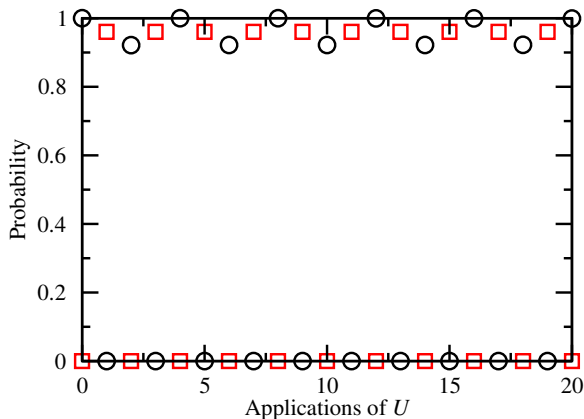
$t = 5$





## Oscillatory Localization

- Example for  $N = 16$ , black circles are probability at  $|ab\rangle$ , red squares are probability at  $|ba\rangle$ .





## Oscillatory Localization

- In fact, we can look at the 1-eigenvectors  $|\psi\rangle$  of  $U^2$ :

$$U^2 |\psi\rangle = |\psi\rangle.$$

- The walk oscillates locally if the starting state is close to these:

$$|\psi_0\rangle \approx |\psi\rangle.$$

- We give a complete description of such eigenvectors.



## Oscillatory Localization

### Theorem (informal)

- *There are only two types of such eigenvectors*

$$|\psi\rangle = \sum_{u \rightarrow v} \alpha_{uv} |uv\rangle.$$

- *The uniform state:*

$$\forall |uv\rangle : \quad \alpha_{uv} = \frac{1}{\sqrt{2|E|}}.$$

- *Flip states:*

$$\forall u \in V : \quad \sum_{u \rightarrow v} \alpha_{uv} = 0, \quad \sum_{u \leftarrow v} \alpha_{vu} = 0.$$



## Oscillatory Localization

- We develop several criteria to determine if the walk will localize, given the starting state  $|\psi_0\rangle$ .
- If the specific electrical network constructed from  $G$  and  $|\psi_0\rangle$  has low electrical resistance, then localization occurs.
- If the given graph  $G$  has high connectivity, then localization occurs.
- As a consequence,  $|\psi_0\rangle = |ab\rangle$  localizes in various graphs:
  - $d$ -dimensional grid.
  - Boolean hypercube.
  - Edge-transitive graphs.
  - ...



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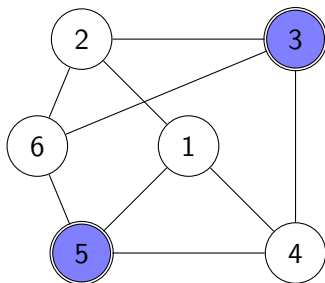
Adversary Bounds

Conclusion



## Quantum Search

- We walk on an  $N$  vertex graph, where some vertices are marked.





## Quantum Search

- We start in a uniform superposition over all edges:

$$|\psi_0\rangle = \frac{1}{\sqrt{2|E|}} \sum_{u \in V} \sum_{u \rightarrow v} |uv\rangle.$$

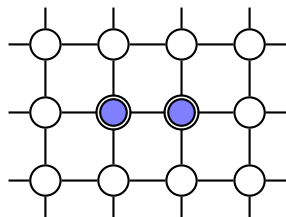
- $U = SCQ$ .

$$Q|uv\rangle = \begin{cases} |uv\rangle & u \text{ is not marked;} \\ -|uv\rangle & u \text{ is marked.} \end{cases}$$



## Quantum Search

- Single marked element:  
Complete graph:  $O(\sqrt{N})$  steps.  
 $\sqrt{N} \times \sqrt{N}$  2D periodic grid:  
 $O(\sqrt{N \log N})$  steps [[ABN<sup>+</sup>13](#)].
- Does the same algorithm find any of  $2, 3, \dots, k$  marked elements as efficiently?
- Not always! [[NR16](#)] The quantum walk does not evolve.







## Stationary States

- What are the configurations of marked vertices when the quantum walk does not evolve?
- In this case, we look at the 1-eigenvectors  $|\psi\rangle$  of  $U = SCQ$  (stationary states).
- The search remains stationary if the uniform starting state

$$|\psi_0\rangle = \frac{1}{\sqrt{2|E|}} \sum_{u \rightarrow v} |uv\rangle.$$

is close to some stationary state  $|\psi\rangle \approx |\psi_0\rangle$ .



## Stationary States

- Krišjānis Prūsis, Jevgēnijs Vihrovs, and Thomas G. Wong. [Stationary states in quantum walk search.](#)

*Phys. Rev. A*, 94:032334, 2016

- We completely characterize the stationary states:

### Theorem

*The stationary state  $|\psi\rangle$  closest to the uniform starting state  $|\psi_0\rangle$  satisfies:*

- *For every two adjacent  $u, v$ :  $\alpha_{uv} = \alpha_{vu}$ .*
- *If  $u$  is marked,*

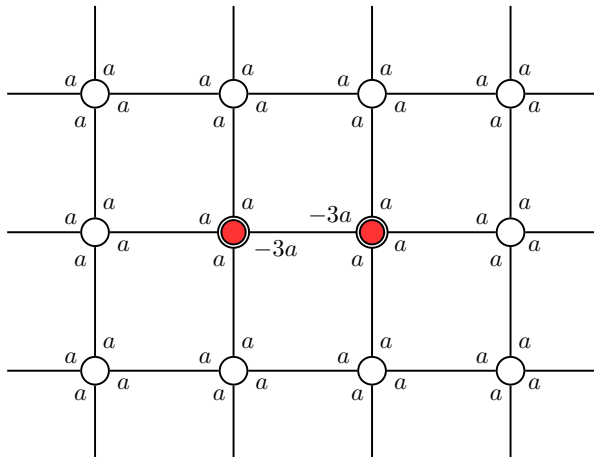
$$\sum_{u \rightarrow v} \alpha_{uv} = 0.$$

- *If  $u$  is not marked,*

*$\alpha_{uv}$  is the same for all neighbours  $v$  of  $u$ .*



## Stationary States





## Stationary States

- The description of such states has been given earlier [NR16]. The previous theorem shows that they are optimal.
- We also describe necessary and sufficient conditions on the existence of stationary states if the marked vertex form a connected component  $M$ :

### Theorem

- *A stationary state always exists if  $M$  is non-bipartite.*
- *If  $M$  is bipartite, then a stationary state exists iff the total degrees of both partite sets are equal.*

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    Query Model

    Adversary Bounds

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## Query Model

- An algorithm has to compute  $f : S \rightarrow H$ , where  $S \subseteq G^n$ , given an input  $x \in S$ .
- The input  $x = (x_1, x_2, \dots, x_n)$  is a black box: with a single *query* we can obtain the value of one  $x_i$ .



- The cost of the computation is the number of queries made when the algorithm returns an output.



## Query Model

- The query complexity of  $f$  is the minimal worst-case cost of an algorithm computing  $f$ .
- Deterministic:  $D(f)$ , decision tree complexity.
- Randomized:  $R(f)$ , randomness is allowed, the algorithm should be correct on any input with probability at least  $2/3$ .
- Quantum:  $Q(f)$ , the queries are made in superposition, correct with probability  $2/3$ .



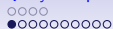
## Query Model

- Example: ordered search.
- Input:  $\underbrace{0 \dots 0}_{\ell} \underbrace{1 \dots 1}_{n-\ell}$ . The goal: find  $\ell$ .



- $D(\text{ORDERED SEARCH}) = O(\log n)$ .
- Can we do better? Randomly? Quantumly?





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## Adversary Bounds

- Quantum adversary lower bound  $\text{Adv}(f)$ : for all  $f$ ,

$$Q(f) = \Omega(\text{Adv}(f)). \quad [\text{Amb00}]$$

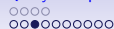
- Let  $f : S \rightarrow H$  be any function, where  $S \subseteq G^n$ . Let  $R : S \times S \rightarrow \mathbb{R}_{\geq 0}$  be a real-valued function such that  $R(x, y) = R(y, x)$  for all  $x, y \in S$  and  $R(x, y) = 0$  whenever  $f(x) = f(y)$ . Then for  $x \in S$  and an index  $i$ , let

$$\theta(x, i) = \frac{\sum_{y \in S} R(x, y)}{\sum_{y \in S: x_i \neq y_i} R(x, y)},$$

Define

$$\text{Adv}(f) = \max_R \min_{\substack{x, y \in S, i \in [n]: \\ R(x, y) > 0, x_i \neq y_i}} \sqrt{\theta(x, i)\theta(y, i)}.$$

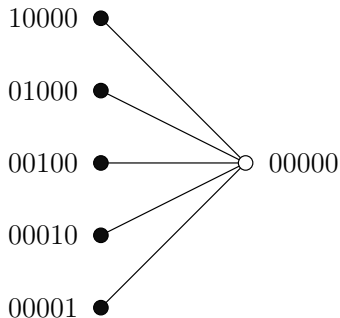
- $\text{Adv}(\text{ORDERED SEARCH}) = \Theta(\log n)$ . [LM04].



## Adversary Bounds

$$\text{Adv}(f) = \max_R \min_{\substack{x, y \in S, i \in [n]: \\ R(x, y) > 0, x_i \neq y_i}} \sqrt{\theta(x, i)\theta(y, i)}.$$

- $\text{OR}(x_1, \dots, x_n)$ .
- $R(x, y) = 1$  if  $|x| = 1$  and  $y = 0^n$ .
- If  $x_i = 1$ , then  $\theta(x, i) = 1/1$ .
- For all  $i$ ,  $\theta(0^n, i) = n/1$ .
- $\text{Adv}(\text{OR}) = \sqrt{\theta(x, i)\theta(0^n, i)} = \sqrt{n}$ .





## Adversary Bounds

- Very powerful lower bound (OR, ORDERED SEARCH, SORTING, AND-OR, GRAPH CONNECTIVITY, etc.).
- Various quantum adversary bounds:
  - Weighted adversary bound.
  - Spectral adversary bound.
  - Kolmogorov adversary bound.
  - Minimax adversary bound.
- Špalek and Szegedy showed that all above are equivalent! [ŠS06].



## Adversary Bounds

- Classical (randomized) adversary bound:

$$R(f) = \Omega(\text{CRA}(f)) \quad [\text{Aar06}].$$

- Define

$$\text{CRA}(f) = \max_R \min_{\substack{x, y \in S, i \in [n]: \\ R(x, y) > 0, x_i \neq y_i}} \max\{\theta(x, i), \theta(y, i)\}.$$

- For OR,

$$\text{CRA}(\text{OR}) = \max\{1, n\} = n.$$



## Adversary Bounds

Applications:

- Given a permutation  $\pi(1), \dots, \pi(n)$ , check if  $\pi^{-1}(1) \leq n/2$ .

$$\text{CRA}(\text{PERMUTATION INVERSION}) = \Omega(n).$$

- Given query access to  $F : \{0, 1\}^n \rightarrow \mathbb{N}$ , find  $x$  such that  $F(x) \leq F(x^i)$  for all  $i \in [n]$ .

$$\text{CRA}(\text{LOCAL SEARCH}) = \Omega(2^{n/2} \sqrt{n}).$$



## Adversary Bounds

Other randomized lower bounds:

- Kolmogorov adversary bound  $\text{CKA}(f)$ .
- Minimax adversary bound  $\text{CMM}(f)$ .
- Fractional block sensitivity  $\text{fbs}(f)$ .

Are they also equivalent as the quantum adversary bounds?



## Adversary Bounds

- Main result: all are equivalent for total functions  $f$ !

### Theorem

For any  $f$ ,

$$\text{fbs}(f) \leq \text{CRA}(f) \leq \text{CKA}(f) = \Theta(\text{CMM}(f)).$$

If  $f$  is total, then

$$\text{CMM}(f) \leq \text{fbs}(f).$$

- Andris Ambainis, Martins Kokainis, Krišjānis Prūsis, and Jevgēnijs Vihrovs. [All Classical Adversary Methods are Equivalent for Total Functions](#).

In *35th Symposium on Theoretical Aspects of Computer Science (STACS 2018)*, volume 96 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 8:1–8:14, Dagstuhl, Germany, 2018. Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik





## Adversary Bounds

- We also introduce rank-1 adversary bound  $\text{CRA}_1(f)$ .
- Additional requirement: there must exist  $u, v : S \rightarrow \mathbb{R}_{\geq 0}$  such that  $R(x, y) = u(x)v(y)$  for all  $x, y \in S$ .
- In general,

$$\text{fbs}(f) \leq \text{CRA}_1(f) \leq \text{CRA}(f).$$

- For total functions,

$$\text{CRA}_1(f) = \text{CRA}(f).$$

- $\text{CRA}_1(f)$  has a simpler formulation.

## Adversary Bounds

- For partial functions, the adversary bounds can give different estimates.

- Inputs have a single 1: 000000100.

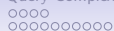
$G_{TH}(f) = 1$  iff  $x_i = 1$  for  $i > n/2$ . Then

$$\text{fbs}(G_{TH}) = O(1), \quad \text{CMM}(G_{TH}), \text{CRA}(G_{TH}) = \Omega(n).$$

- Binary sorted inputs: 000111111.

$OSP(f) = 1$  iff  $x_{i-1} = 0$  and  $x_i = 1$  for even  $i$ . Then

$$\text{CRA}(OSP) = O(1), \quad \text{CMM}(OSP) = \Omega(\log n).$$



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## Conclusion

- *Oscillatory Localization:*
  - Developed a mathematical characterization of localization.
  - Provided the tools to estimate the amount of localization.
- *Stationary States:*
  - Developed a mathematical characterization of stationary states.
  - Provided the conditions on the existence of the stationary states.
- *Open question:* Algorithmic applications using stationary states? In fact, localization has been used to give quantum algorithms for electrical network analysis [[Wan17](#)].



# Conclusion

- *Adversary Bounds:*
  - Proved the equivalence between the classical adversary bounds for total functions.
  - Showed separation examples for partial functions.
- *Block Sensitivity:*
  - Showed optimal separation between block sensitivity and fractional block sensitivity for partial functions.
- *Open questions:*
  - Relationship between classical and quantum adversary bounds?
  - Optimal separation between  $bs(f)$  and  $fbs(f)$  for total functions?

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## Questions?



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